

## 2021 Internal Audit Work Plan

| Name of Project   | Type of Audit               | Reporting Timeline                                |
|---|-----------------------------|---|
| <p><b>Service Rationalization Review</b><br/>Internal Audit will act as project lead coordinator. The third party consultant will assess the City's core services in aid of finding efficiencies within the City's scope of responsibility.</p> | Third Party Service Review  | Final Report to be issued in September 2021       |
| <p><b>Data Analytics Pilot Project</b><br/>To assess the degree of financial risk in City's general ledger financial system and provide insight to assess future financial areas for audit.</p>   | Operational/Value for Money | December 2021                                     |
| <p><b>Program Review Framework Development</b><br/>To develop a systematic audit selection and review process with the Executive Team.</p>  | Not applicable              | Update report to Audit Committee in December 2021 |
| <p><b>Project Management Office Follow-Up Audit</b><br/>To assess the effectiveness and efficiency of the PMO's internal controls and processes and compliance to policies, procedures, legislation and By Laws.</p>                            | Compliance                  | TBD   |
| <p><b>Payroll Audit Follow-Up Audit</b><br/>To assess the effectiveness and efficiency of the processes and compliance to policies, procedures and legislation.</p>   | Compliance - In Progress    | TBD   |
| <p><b>Annual Driver Certification Program Compliance Audit-Guelph Transit</b><br/>To access compliance to the Ministry of Transportation criteria.</p>  | Compliance                  | September 2021 CoW Meeting                        |
| <p><b>Annual Driver Certification Program Compliance Audit-Fleet Operations</b><br/>To access compliance to the Ministry of Transportation criteria.</p>  | Compliance                  | Compliance Report - January 2022                  |
| <p><b>Annual Cash Handling Process Audit – REC</b><br/>To assess the effectiveness and efficiency of the processes and compliance to policies, procedures, legislation and By Laws.</p>   | Operational – In Progress   | TBD   |
| <p><b>Status Report on Outstanding Audit Recommendations</b><br/>Provides an update to the Audit Committee on management's implementation status of recommendations agreed upon by staff.</p>   | Not applicable              | April/June/September CoW Meetings                 |