

## 2021 Internal Audit Work Plan

Name of Project	Type of Audit	Reporting Timeline
<b>Service Rationalization Review</b> Internal Audit will act as project lead coordinator. The third party consultant will assess the City's core services in aid of finding efficiencies within the City's scope of responsibility.	Third Party Service Review	Final Report to be issued in September 2021
<b>Data Analytics Pilot Project</b> To assess the degree of financial risk in City's general ledger financial system and provide insight to assess future financial areas for audit.	Operational/Value for Money	December 2021
<b>Program Review Framework Development</b> To develop a systematic audit selection and review process with the Executive Team.	Not applicable	Update report to Audit Committee in December 2021
<b>Project Management Office Follow-Up Audit</b> To assess the effectiveness and efficiency of the PMO's internal controls and processes and compliance to policies, procedures, legislation and By Laws.	Compliance	TBD
<b>Payroll Audit Follow-Up Audit</b> To assess the effectiveness and efficiency of the processes and compliance to policies, procedures and legislation.	Compliance - In Progress	TBD
<b>Annual Driver Certification Program Compliance Audit-Guelph Transit</b> To access compliance to the Ministry of Transportation criteria.	Compliance	September 2021 CoW Meeting
<b>Annual Driver Certification Program Compliance Audit-Fleet Operations</b> To access compliance to the Ministry of Transportation criteria.	Compliance	Compliance Report - January 2022
<b>Annual Cash Handling Process Audit – REC</b> To assess the effectiveness and efficiency of the processes and compliance to policies, procedures, legislation and By Laws.	Operational – In Progress	TBD
<b>Status Report on Outstanding Audit Recommendations</b> Provides an update to the Audit Committee on management's implementation status of recommendations agreed upon by staff.	Not applicable	April/June/September CoW Meetings